

# Some bad bounds on $\omega$ and related sums

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## 1 Definition

We use  $p_n$  to denote the  $n$ th prime number. We define  $\omega(p_n)$  as the smallest integer with the properties

$$\omega(p_n) > p_{n+1} - p_n \quad (1)$$

$$\omega(p_n) | p_n - (p_{n+1} - p_n) \quad (2)$$

If  $\omega(p_n)$  does not exist, we define it as 0. Furthermore we define

$$\zeta(p_n) = \frac{p_n - (p_{n+1} - p_n)}{\omega(p_n)} \quad (3)$$

We will call  $\omega(p_n)$  the weight of the prime  $p_n$  while we use the term level for  $\zeta(p_n)$ . It can be shown that for all  $n \geq 5$

$$2 < \omega(p_n) < p_n - 6 \quad (4)$$

## 2 Basic theorems

**Theorem** We have

$$\lim_{n \rightarrow \infty} \frac{\sum_{i=5}^n \omega(p_i) \zeta(p_i)}{n^2 \ln(n)} = \frac{1}{2} \quad (5)$$

**Proof** We will use the statement  $2p_n - p_{n+1} = \omega(p_n) \zeta(p_n)$  to start.

$$\sum_{i=5}^n \omega(p_i) \zeta(p_i) = \sum_{i=5}^n p_i - (p_{i+1} - p_i) = \sum_{i=5}^n p_i - \sum_{i=5}^n (p_{i+1} - p_i) \quad (6)$$

Due to a theorem of Bach and Shallit we have

$$\lim_{n \rightarrow \infty} \frac{\sum_{i=1}^n p_n}{n^2 \ln(n)} = \frac{1}{2} \quad (7)$$

Therefore we see that

$$\frac{\sum_{i=5}^n \omega(p_i)\zeta(p_i)}{n^2 \ln(n)} = \frac{\sum_{i=5}^n p_n}{n^2 \ln(n)} - \frac{\sum_{i=5}^n (p_{i+1} - p_i)}{n^2 \ln(n)} \quad (8)$$

Now it is easy to understand that

$$\lim_{n \rightarrow \infty} \frac{\sum_{i=5}^n \omega(p_i)\zeta(p_i)}{n^2 \ln(n)} = \lim_{n \rightarrow \infty} \frac{\sum_{i=5}^n p_n}{n^2 \ln(n)} - \frac{\sum_{i=5}^n (p_{i+1} - p_i)}{n^2 \ln(n)} = \frac{1}{2} - \lim_{n \rightarrow \infty} \frac{\sum_{i=5}^n (p_{i+1} - p_i)}{n^2 \ln(n)} \quad (9)$$

The last expression is nothing more than a telescopic sum having the value  $\frac{p_{n+1}-11}{n^2 \ln(n)}$  which tends against 0 rather quickly.

### 3 $\omega(p_n)$ and $\zeta(p_n)$ in Zone 2/3 primes

#### 3.1 $\zeta(p_n)$ is small

The main statement is that, if  $\omega(p_n) > \zeta(p_n)$  for some  $p_n$ , the level  $\zeta(p_n)$  has to be relatively small.

**Theorem** If  $\omega(p_n) > \zeta(p_n)$ , then  $\zeta(p_n) \leq p_{n+1} - p_n$ .

**Proof** Assume the statement to be false. Then we have a solution of the equation

$$2p_n - p_{n+1} = \omega(p_n)\zeta(p_n) \quad (10)$$

with  $\omega(p_n) > \zeta(p_n) > p_{n+1} - p_n$  which would immediately imply that  $\omega(p_n)$  cannot be the weight of  $p_n$  as  $\zeta(p_n)$  does also fulfill the requirements and is smaller. Contradiction.

We can furthermore show that, if  $\omega(p_n)$  is bigger than  $\zeta(p_n)$ , then it will be very big. In fact we will proof the following

**Theorem** If  $\omega(p_n) > \zeta(p_n)$ , then

$$\omega(p_n) \geq \frac{p_n}{p_{n+1} - p_n} - 1 \quad (11)$$

**Proof** We have

$$2p_n - p_{n+1} = \omega(p_n)\zeta(p_n) \quad (12)$$

and we do know that  $\zeta(p_n) \leq p_{n+1} - p_n$ . The theorem follows.

#### 3.2 The primality of the weight in Zone2/3 primes

There are reasons to state the following

**Conjecture** If  $\omega(p_n) > \zeta(p_n)$  then  $\omega(p_n)$  is prime except for  $p_6, p_{11}, p_{30}, p_{32}$  and  $p_{154}$ .

We can show the following weaker

**Theorem** Assume that  $\omega(p_n) > \zeta(p_n)$ . Then, if  $\omega(p_n)$  is not a prime number, this implies that

$$(p_{n+1} - p_n)^3 \geq p_n - (p_{n+1} - p_n) \quad (13)$$

**Proof** We will first assume that  $\omega(p_n)$  is not a prime number and then derive some statements about the prime factors of  $\omega(p_n)$  which will lead us to the theorem stated above.

First of all we define

$$\omega(p_n) = \prod_{i=1}^m \alpha_i \quad (14)$$

Please notice that we are not using the canonic representation of primes but rather list them all (such as in  $20 = 2 * 2 * 5$ ). We will also implicitly assume that  $m \geq 2$  (otherwise  $\omega(p_n)$  would be a prime anyway). Now we immediately find that all those prime factors have to be „small“. Indeed, we have  $\alpha_i \leq p_{n+1} - p_n$ . If this was false for some special  $\alpha_j$  we see that

1.  $\alpha_j$  is a divisor of  $p_n - (p_{n+1} - p_n)$  (because so is  $\omega(p_n)$ )
2.  $\alpha_j > p_{n+1} - p_n$
3.  $\alpha_j < \omega(p_n)$

Hence  $\alpha_j$  does fulfill the necessary requirements for the weight and is actually smaller than  $\omega(p_n)$ . By definition the weight is the smallest number fulfilling the requirements and thus we have a contradiction.

Now, on the other hand, the prime factors cannot be too small. Indeed, we find

$$\forall 1 \leq i \leq m : \alpha_i \geq \frac{\omega(p_n)}{p_{n+1} - p_n} \quad (15)$$

To see this, we will again assume the equation to be false for some special  $\alpha_j$ . If the equation was false, we could conclude that

$$p_{n+1} - p_n < \frac{\omega(p_n)}{\alpha_j} \quad (16)$$

But then we again find that

1.  $\frac{\omega(p_n)}{\alpha_j}$  is a divisor of  $p_n - (p_{n+1} - p_n)$
2.  $\frac{\omega(p_n)}{\alpha_j} > p_{n+1} - p_n$
3.  $\frac{\omega(p_n)}{\alpha_j} < \omega(p_n)$

Thus we found again a smaller number fulfilling the requirements while the weight is defined as the smallest such number and we have again reached a contradiction. Now, we found that for all  $\alpha_i$  we have

$$\frac{\omega(p_n)}{p_{n+1} - p_n} \leq \alpha_i \leq p_{n+1} - p_n \quad (17)$$

Thus we immediately obtain that

$$\omega(p_n) \leq (p_{n+1} - p_n)^2 \quad (18)$$

Now, we can use the fact that  $\zeta(p_n)$  is small and see that

$$p_n - (p_{n+1} - p_n) = \omega(p_n)\zeta(p_n) \leq (p_{n+1} - p_n)^2(p_{n+1} - p_n) = (p_{n+1} - p_n)^3 \quad (19)$$

### 3.3 How many Zone2/3 primes are there?

In this section we will assume the inequality  $\Omega(n) > 0.6n$ , which is yet nothing more than a mere conjecture, and show that there are not too few Zone2/3 primes.

**Theorem** Assuming  $\Omega(n) > 0.6n$ ; if  $\mathcal{B}$  is defined as the set of zone 2/3 primes among the set of prime numbers  $\{p_5, p_6, p_7, \dots, p_n\}$ , then we have

$$|\mathcal{B}| \geq \frac{0.6n^2 - n\sqrt{p_n} + 4\sqrt{p_n}}{p_n - \sqrt{p_n}} \quad (20)$$

**Proof** We will first define two sets  $\mathcal{A}$  and  $\mathcal{B}$  with the properties  $\mathcal{A} \cup \mathcal{B} = \{5, 6, \dots, n\}$  and  $\mathcal{A} \cap \mathcal{B} = \{\}$ . the set  $\mathcal{A}$  contains all those numbers  $i$  with the property  $\omega(p_i) \leq \zeta(p_i)$  while in  $\mathcal{B}$  we find those  $i$  for which  $\omega(p_i) > \zeta(p_i)$ . Then we apparently have  $|\mathcal{A}| + |\mathcal{B}| = n - 4$ . We will use this to split the sum into

$$\sum_{i=5}^n \omega(p_i) = \sum_{i \in \mathcal{A}} \omega(p_i) + \sum_{i \in \mathcal{B}} \omega(p_i) \quad (21)$$

The following inequality is apparent:

$$\sum_{i \in \mathcal{A}} \omega(p_i) \leq \sum_{i \in \mathcal{A}} \sqrt{p_i - (p_{i+1} - p_i)} \leq \sum_{i \in \mathcal{A}} \sqrt{p_i} \leq \sum_{i \in \mathcal{A}} \sqrt{p_n} = |\mathcal{A}| \sqrt{p_n} \quad (22)$$

Furthermore we also have

$$\sum_{i \in \mathcal{B}} \omega(p_i) \leq \sum_{i \in \mathcal{B}} p_i \leq |\mathcal{B}| p_n \quad (23)$$

Now, using the assumption  $\Omega(n) > 0.6n$  we can derive, that

$$0.6n^2 \leq \sum_{i \in \mathcal{A}} \omega(p_i) + \sum_{i \in \mathcal{B}} \omega(p_i) \leq |\mathcal{A}| \sqrt{p_n} + |\mathcal{B}| p_n \quad (24)$$

Now consider that  $|\mathcal{A}| = n - 4 - |\mathcal{B}|$  which leads us to

$$0.6n^2 \leq n\sqrt{p_n} - 4\sqrt{p_n} - |\mathcal{B}| \sqrt{p_n} + |\mathcal{B}| p_n = |\mathcal{B}| (p_n - \sqrt{p_n}) + n\sqrt{p_n} - 4\sqrt{p_n} \quad (25)$$

Now we can isolate  $|\mathcal{B}|$  and effectively obtain that

$$\frac{0.6n^2 - n\sqrt{p_n} + 4\sqrt{p_n}}{p_n - \sqrt{p_n}} \leq |\mathcal{B}| \quad (26)$$

## 4 The average functions

### 4.1 Average of the weight: $\Omega(n)$

As it follows from the definition the value  $\omega(p_n)$  is rather irregular. Therefore it does seem appropriate to study the average value, which we define as

$$\Omega(n) := \frac{1}{n} \sum_{i=5}^n \omega(p_i) \quad (27)$$

**Theorem** It is quite easy to show that  $\Omega(n)$  is unbounded.

**Proof**

$$\Omega(n) = \frac{1}{n} \sum_{i=5}^n \omega(p_i) \geq \frac{1}{n} \sum_{i=5}^n (p_{i+1} - p_i + 1) = \frac{p_{n+1} - 11}{n} + \frac{n - 4}{n} \quad (28)$$

Now, given the fact that  $p_n > n \ln(n)$  due to the theorem of Rosser, we find that for  $n \geq 15$

$$\Omega(n) > \frac{p_{n+1} - 11}{n} + \frac{n - 4}{n} \geq \frac{p_n}{n} > \ln(n) \quad (29)$$

On the other hand some numerical experiments (for  $1 \leq n \leq 5000$ ) indicate that for almost all  $n$  we find

$$\Omega(n) < 0.7n \quad (30)$$

### 4.2 Average of the level: $\xi(n)$

We define

$$\xi(n) = \frac{1}{n} \sum_{i=5}^n \zeta(p_i) \quad (31)$$

### 4.3 A bound on $\sum_{i=5}^n \omega(p_i) + \zeta(p_i)$

In this section we will attempt to show an inequality that gives us a lower bound for the growth of the sum of the average functions, by far better than  $\ln(n)$ . In fact we will attempt to prove the following

**Theorem** For all  $n \geq 5$  we find

$$\sum_{i=5}^n \omega(p_i) + \zeta(p_i) \geq \frac{2}{3}n^{\frac{3}{2}} - \frac{16}{3} \quad (32)$$

**Proof** We will start with applying the easiest case of the AGM inequality. For positive numbers  $a$  and  $b$  it can be shown easily that  $a + b \geq 2\sqrt{ab}$ . Therefore we find that (using that  $p_{n+1} \leq \frac{3p_n}{2}$ )

$$\sum_{i=5}^n \omega(p_i) + \zeta(p_i) \geq 2 \sum_{i=5}^n \sqrt{p_i - (p_{i+1} - p_i)} \geq 2 \sum_{i=5}^n \sqrt{\frac{p_i}{2}} \quad (33)$$

Now we do know that  $p_n > n \ln(n)$  and therefore it is easy to see that

$$2 \sum_{i=5}^n \sqrt{\frac{p_i}{2}} = \sqrt{2} \sum_{i=5}^n \sqrt{p_i} \geq \sqrt{2} \sum_{i=5}^n \sqrt{n \ln(n)} \quad (34)$$

Now it should prove rather difficult to evaluate this sum, which is why we are using integrals now.  $\sqrt{x \ln(x)}$  is a monotone increasing function, which immediately leads us to

$$\sqrt{n \ln(n)} \geq \int_{n-1}^n \sqrt{x \ln(x)} dx \quad (35)$$

Applying this inequality several times and adding the integrals gives us

$$\sqrt{2} \sum_{i=5}^n \sqrt{n \ln(n)} \geq \sqrt{2} \int_4^n \sqrt{x \ln(x)} dx \quad (36)$$

This integral can be evaluated exactly (Mathematica, for example, can do this) but in the result we find some nasty functions. Hence we will try to find a lower bound for that integral. Omitting the logarithm we get an easy integral, which we can evaluate without any problems.

$$\int_4^n \sqrt{x \ln(x)} dx \geq \int_4^n \sqrt{x} dx = \frac{2}{3} n^{\frac{3}{2}} - \frac{16}{3} \quad (37)$$

Hence we can finally say with certainty that

$$\sum_{i=5}^n \omega(p_i) + \zeta(p_i) \geq \frac{2}{3} n^{\frac{3}{2}} - \frac{16}{3} \quad (38)$$

This immediately leads us to

$$\sum_{i=5}^n \omega(p_i) + \zeta(p_i) = n(\Omega(n) + \xi(n)) \geq \frac{2}{3} n^{\frac{3}{2}} - \frac{16}{3} \quad (39)$$